Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2014

Securities Listed on New York Stock Exchange

Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 89.28%
- Limit orders as percentage of total non-directed orders: 9.32%
- Other orders as percentage of total non-directed orders: 1.40%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC: 37.06%
2. Citadel Securities LLC: 27.16%
3. Goldman, Sachs & Co.: 10.61%
4. Citigroup Global Markets, Inc.: 6.29%
5. Two Sigma Securities, LLC: 4.78%
6. Instinet LLC: 3.26%
7. UBS Securities, LLC: 2.91%
8. Direct Edge Exchange: 2.80%
9. National Financial Services LLC: 2.68%
10. Nasdaq OMX BX, Inc.: 1.28%

Information Concerning Significant Venues:

1. KCG Americas LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 36.16%
     - Limit orders as percentage of total limit orders: 43.75%
     - Other orders as percentage of total other orders: 50.00%

2. Citadel Securities LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 28.46%
     - Limit orders as percentage of total limit orders: 12.50%
     - Other orders as percentage of total other orders: 41.67%

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 11.75%
- Limit orders as percentage of total limit orders: 1.25%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 6.79%
- Limit orders as percentage of total limit orders: 2.50%
- Other orders as percentage of total other orders: 0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 4.96%
- Limit orders as percentage of total limit orders: 2.50%
- Other orders as percentage of total other orders: 8.33%

6. Instinet LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 3.66%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 3.00%
- Limit orders as percentage of total limit orders: 2.50%
- Other orders as percentage of total other orders: 0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 30.00%
- Other orders as percentage of total other orders: 0.00%

9. National Financial Services LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 2.61%
- Limit orders as percentage of total limit orders: 3.75%
- Other orders as percentage of total other orders: 0.00%

10. Nasdaq OMX BX, Inc.

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 1.31%
- Limit orders as percentage of total limit orders: 1.25%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 80.74%
Limit orders as percentage of total non-directed orders 16.88%
Other orders as percentage of total non-directed orders 2.38%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC 32.90%
2. Citadel Securities LLC 27.06%
3. Goldman, Sachs & Co. 11.47%
4. Direct Edge Exchange 9.31%
5. Citigroup Global Markets, Inc. 4.98%
6. Two Sigma Securities, LLC 3.03%
7. Instinet LLC 2.16%
8. National Financial Services LLC 2.16%
9. UBS Securities, LLC 1.73%
10. Nasdaq Stock Market 1.52%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 34.05%
   - Limit orders as percentage of total limit orders 17.95%
   - Other orders as percentage of total other orders 100.00%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 31.90%
   - Limit orders as percentage of total limit orders 7.69%
   - Other orders as percentage of total other orders 0.00%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 13.94%
   - Limit orders as percentage of total limit orders 1.28%
   - Other orders as percentage of total other orders 0.00%

4. Direct Edge Exchange
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 0.00%
   - Limit orders as percentage of total limit orders 55.13%
   - Other orders as percentage of total other orders 0.00%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 6.17%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%
6. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 3.49%
   - Limit orders as percentage of total limit orders: 1.28%
   - Other orders as percentage of total other orders: 0.00%

7. Instinet LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.41%
   - Limit orders as percentage of total limit orders: 1.28%
   - Other orders as percentage of total other orders: 0.00%

8. National Financial Services LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.68%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

9. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 1.61%
   - Limit orders as percentage of total limit orders: 2.56%
   - Other orders as percentage of total other orders: 0.00%

10. Nasdaq Stock Market
    Types of Orders Routed to Venue:
    - Market orders as percentage of total market orders: 0.00%
    - Limit orders as percentage of total limit orders: 8.97%
    - Other orders as percentage of total other orders: 0.00%
Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics:
- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 90.10%
- Limit orders as percentage of total non-directed orders: 6.77%
- Other orders as percentage of total non-directed orders: 3.13%

Venues Receiving Significant Percentage of Total Non-Directed Orders:
1. KCG Americas LLC: 42.19%
2. Citadel Securities LLC: 30.21%
3. Goldman, Sachs & Co.: 7.29%
4. Citigroup Global Markets, Inc.: 5.73%
5. UBS Securities, LLC: 3.65%
6. Two Sigma Securities, LLC: 3.13%
7. Direct Edge Exchange: 2.08%
8. Instinet LLC: 1.56%
9. NYSE Arca: 1.04%
10. National Financial Services LLC: 1.04%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 42.20%
   - Limit orders as percentage of total limit orders: 23.08%
   - Other orders as percentage of total other orders: 83.33%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 32.37%
   - Limit orders as percentage of total limit orders: 15.38%
   - Other orders as percentage of total other orders: 0.00%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 8.09%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 6.36%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

5. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 3.47%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 16.67%
6. Two Sigma Securities, LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 3.47%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. Direct Edge Exchange

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 30.77%
- Other orders as percentage of total other orders: 0.00%

8. Instinet LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 1.73%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. NYSE Arca

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 15.38%
- Other orders as percentage of total other orders: 0.00%

10. National Financial Services LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 1.16%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

<table>
<thead>
<tr>
<th>Description</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
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</tr>
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</tr>
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</tr>
</tbody>
</table>