Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2014

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 91.18%
Limit orders as percentage of total non-directed orders 7.41%
Other orders as percentage of total non-directed orders 1.40%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC 33.77%
2. Citadel Securities LLC 26.75%
3. Goldman, Sachs & Co. 12.42%
4. Two Sigma Securities, LLC 11.62%
5. Citigroup Global Markets, Inc. 3.21%
6. Direct Edge Exchange 3.11%
7. Instinet LLC 2.61%
8. National Financial Services LLC 2.10%
9. UBS Securities, LLC 2.00%
10. Morgan Stanley & Co. Incorporated 0.80%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 33.30%
   Limit orders as percentage of total limit orders 28.38%
   Other orders as percentage of total other orders 92.86%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 28.79%
   Limit orders as percentage of total limit orders 5.41%
   Other orders as percentage of total other orders 7.14%
<table>
<thead>
<tr>
<th>3. Goldman, Sachs &amp; Co.</th>
<th>4. Two Sigma Securities, LLC</th>
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</thead>
<tbody>
<tr>
<td><strong>Types of Orders Routed to Venue:</strong></td>
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<td>Market orders as percentage of total market orders</td>
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<td>Limit orders as percentage of total limit orders</td>
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<td>Other orders as percentage of total other orders</td>
<td>Other orders as percentage of total other orders</td>
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<tr>
<td>13.19%</td>
<td>12.31%</td>
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<tr>
<td>5.41%</td>
<td>5.41%</td>
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<th>5. Citigroup Global Markets, Inc.</th>
<th>6. Direct Edge Exchange</th>
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<tr>
<td>Other orders as percentage of total other orders</td>
<td>Other orders as percentage of total other orders</td>
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<tr>
<td>3.41%</td>
<td>0.00%</td>
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<tr>
<td>1.35%</td>
<td>41.89%</td>
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<tr>
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<td>Other orders as percentage of total other orders</td>
<td>Other orders as percentage of total other orders</td>
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<tr>
<td>2.64%</td>
<td>2.31%</td>
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<tr>
<td>2.70%</td>
<td>0.00%</td>
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<td>Other orders as percentage of total other orders</td>
<td>Other orders as percentage of total other orders</td>
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<tr>
<td>2.09%</td>
<td>0.88%</td>
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<tr>
<td>1.35%</td>
<td>0.00%</td>
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<tr>
<td>0.00%</td>
<td>0.00%</td>
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</tbody>
</table>
Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 83.71%
Limit orders as percentage of total non-directed orders 15.08%
Other orders as percentage of total non-directed orders 1.21%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC 29.81%
2. Citadel Securities LLC 27.56%
3. Two Sigma Securities, LLC 12.13%
4. Goldman, Sachs & Co. 9.71%
5. Direct Edge Exchange 9.36%
6. Citigroup Global Markets, Inc. 3.81%
7. National Financial Services LLC 2.25%
8. UBS Securities, LLC 2.08%
9. Instinet LLC 1.73%
10. Nasdaq Stock Market 0.87%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 32.09%
   - Limit orders as percentage of total limit orders 12.64%
   - Other orders as percentage of total other orders 85.71%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 30.43%
   - Limit orders as percentage of total limit orders 12.64%
   - Other orders as percentage of total other orders 14.29%

3. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 14.29%
   - Limit orders as percentage of total limit orders 1.15%
   - Other orders as percentage of total other orders 0.00%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 11.18%
   - Limit orders as percentage of total limit orders 2.30%
   - Other orders as percentage of total other orders 0.00%

5. Direct Edge Exchange
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 0.00%
   - Limit orders as percentage of total limit orders 62.07%
   - Other orders as percentage of total other orders 0.00%
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 4.35%
   - Limit orders as percentage of total limit orders: 1.15%
   - Other orders as percentage of total other orders: 0.00%

7. National Financial Services LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.69%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

8. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.28%
   - Limit orders as percentage of total limit orders: 1.15%
   - Other orders as percentage of total other orders: 0.00%

9. Instinet LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.07%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

10. Nasdaq Stock Market
    Types of Orders Routed to Venue:
    - Market orders as percentage of total market orders: 0.00%
    - Limit orders as percentage of total limit orders: 5.75%
    - Other orders as percentage of total other orders: 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 96.30%
- Limit orders as percentage of total non-directed orders: 3.70%
- Other orders as percentage of total non-directed orders: 0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC: 32.92%
2. KCG Americas LLC: 31.28%
3. Two Sigma Securities, LLC: 13.58%
4. Goldman, Sachs & Co.: 10.29%
5. Citigroup Global Markets, Inc.: 3.70%
6. Direct Edge Exchange: 2.06%
7. Instinet LLC: 2.06%
8. UBS Securities, LLC: 2.06%
9. National Financial Services LLC: 1.23%
10. BATS Exchange, Inc.: 0.41%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 33.76%
     - Limit orders as percentage of total limit orders: 11.11%
     - Other orders as percentage of total other orders: 0.00%

2. KCG Americas LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 31.62%
     - Limit orders as percentage of total limit orders: 22.22%
     - Other orders as percentage of total other orders: 0.00%

3. Two Sigma Securities, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 14.10%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%

   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 10.68%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%

   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 3.85%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%
6. Direct Edge Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 55.56%
- Other orders as percentage of total other orders: 0.00%

7. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.14%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.14%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. National Financial Services LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.28%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. BATS Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 11.11%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 0.00%
- Market orders as percentage of total non-directed orders: 0.00%
- Limit orders as percentage of total non-directed orders: 0.00%
- Other orders as percentage of total non-directed orders: 0.00%