Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
3 ALLSTATE FINANCIAL SERVICES LLC
C 2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2013

Securities Listed on New York Stock Exchange

Summary Statistics:

<table>
<thead>
<tr>
<th>Description</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>87.04%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>11.53%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>1.43%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Holdings, Inc. 30.92%
2. Citadel Securities LLC 16.84%
3. Goldman, Sachs & Co. 15.71%
4. National Financial Services LLC 11.22%
5. Two Sigma Securities, LLC 8.88%
6. UBS Securities, LLC 7.55%
7. Direct Edge Exchange 3.67%
8. Instinet LLC 1.63%
9. Citigroup Global Markets, Inc. 1.33%
10. BATS Exchange, Inc. 0.82%

Information Concerning Significant Venues:

1. KCG Holdings, Inc.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 33.41%
   - Limit orders as percentage of total limit orders: 7.08%
   - Other orders as percentage of total other orders: 71.43%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 18.29%
   - Limit orders as percentage of total limit orders: 5.31%
   - Other orders as percentage of total other orders: 21.43%
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 17.47%
   - Limit orders as percentage of total limit orders: 4.42%
   - Other orders as percentage of total other orders: 0.00%

4. National Financial Services LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 8.68%
   - Limit orders as percentage of total limit orders: 31.86%
   - Other orders as percentage of total other orders: 0.00%

5. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 9.85%
   - Limit orders as percentage of total limit orders: 2.65%
   - Other orders as percentage of total other orders: 0.00%

6. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 8.21%
   - Limit orders as percentage of total limit orders: 2.65%
   - Other orders as percentage of total other orders: 7.14%

7. Direct Edge Exchange
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 0.00%
   - Limit orders as percentage of total limit orders: 31.86%
   - Other orders as percentage of total other orders: 0.00%

8. Instinet LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 1.76%
   - Limit orders as percentage of total limit orders: 0.88%
   - Other orders as percentage of total other orders: 0.00%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 1.17%
   - Limit orders as percentage of total limit orders: 2.65%
   - Other orders as percentage of total other orders: 0.00%

10. BATS Exchange, Inc.
    Types of Orders Routed to Venue:
    - Market orders as percentage of total market orders: 0.00%
    - Limit orders as percentage of total limit orders: 7.08%
    - Other orders as percentage of total other orders: 0.00%
Summary Statistics:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>85.49%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>13.38%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>1.13%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Holdings, Inc.               33.79%
2. Citadel Securities LLC               19.27%
3. National Financial Services LLC               11.56%
4. Goldman, Sachs & Co.               11.34%
5. Two Sigma Securities, LLC               9.98%
6. Direct Edge Exchange               6.12%
7. UBS Securities, LLC               4.99%
8. Instinet LLC               2.04%
9. Citigroup Global Markets, Inc.               0.45%
10. BATS Exchange, Inc.               0.23%

Information Concerning Significant Venues:

1. KCG Holdings, Inc.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 36.34%
   - Limit orders as percentage of total limit orders 16.95%
   - Other orders as percentage of total other orders 40.00%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 22.02%
   - Limit orders as percentage of total limit orders 3.39%
   - Other orders as percentage of total other orders 0.00%

3. National Financial Services LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 9.55%
   - Limit orders as percentage of total limit orders 25.42%
   - Other orders as percentage of total other orders 0.00%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 13.26%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%

5. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 11.14%
   - Limit orders as percentage of total limit orders 3.39%
   - Other orders as percentage of total other orders 0.00%
6. Direct Edge Exchange

<table>
<thead>
<tr>
<th>Types of Orders Routed to Venue:</th>
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</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>45.76%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

7. UBS Securities, LLC

<table>
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<tr>
<th>Types of Orders Routed to Venue:</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>4.77%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>1.69%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>60.00%</td>
</tr>
</tbody>
</table>

8. Instinet LLC

<table>
<thead>
<tr>
<th>Types of Orders Routed to Venue:</th>
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</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>2.39%</td>
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<tr>
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<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
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<td>1.69%</td>
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<tr>
<td>Other orders as percentage of total other orders</td>
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10. BATS Exchange, Inc.

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<th>Types of Orders Routed to Venue:</th>
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<tbody>
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<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>1.69%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 94.06%
- Limit orders as percentage of total non-directed orders: 5.59%
- Other orders as percentage of total non-directed orders: 0.35%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Goldman, Sachs & Co. 38.11%
2. Citadel Securities LLC 19.93%
3. KCG Holdings, Inc. 17.83%
4. National Financial Services LLC 7.69%
5. Two Sigma Securities, LLC 6.64%
6. UBS Securities, LLC 3.85%
7. Instinet LLC 2.10%
8. Direct Edge Exchange 1.40%
9. Morgan Stanley & Co. Incorporated 1.40%
10. Nasdaq Execution Services, LLC 0.70%

Information Concerning Significant Venues:

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 40.52%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 21.19%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

3. KCG Holdings, Inc.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 17.84%
   - Limit orders as percentage of total limit orders: 12.50%
   - Other orders as percentage of total other orders: 100.00%

4. National Financial Services LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 5.20%
   - Limit orders as percentage of total limit orders: 50.00%
   - Other orders as percentage of total other orders: 0.00%

5. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 7.06%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%
6. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 4.09%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.23%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 25.00%
- Other orders as percentage of total other orders: 0.00%

9. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.49%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. Nasdaq Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.37%
- Limit orders as percentage of total limit orders: 6.25%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

Non-directed orders as percentage of total customer orders 0.00%
Market orders as percentage of total non-directed orders 0.00%
Limit orders as percentage of total non-directed orders 0.00%
Other orders as percentage of total non-directed orders 0.00%