Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2011

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 85.11%
Limit orders as percentage of total non-directed orders 11.43%
Other orders as percentage of total non-directed orders 3.46%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Knight Capital Markets, LLC 32.46%
2. National Financial Services LLC 22.16%
3. Citadel Derivatives Group LLC 17.01%
4. UBS Securities, LLC 14.47%
5. Citigroup Global Markets, Inc. 5.66%
6. Goldman, Sachs & Co. 3.32%
7. AlterNet Securities Inc. 1.20%
8. Direct Edge Exchange 1.13%
9. BATS Exchange, Inc. 0.99%
10. NYSE Arca 0.92%

Information Concerning Significant Venues:

1. Knight Capital Markets, LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market 32.17%
   Limit orders as percentage of total limit orders 30.86%
   Other orders as percentage of total other orders 44.90%

2. National Financial Services LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market 25.54%
   Limit orders as percentage of total limit orders 3.70%
   Other orders as percentage of total other orders 0.00%
3. Citadel Derivatives Group LLC

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market: 16.17%
   - Limit orders as percentage of total limit orders: 22.84%
   - Other orders as percentage of total other orders: 18.37%

4. UBS Securities, LLC

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market: 14.26%
   - Limit orders as percentage of total limit orders: 12.96%
   - Other orders as percentage of total other orders: 24.49%


   Types of Orders Routed to Venue:
   - Market orders as percentage of total market: 5.97%
   - Limit orders as percentage of total limit orders: 1.23%
   - Other orders as percentage of total other orders: 12.24%


   Types of Orders Routed to Venue:
   - Market orders as percentage of total market: 3.65%
   - Limit orders as percentage of total limit orders: 1.85%
   - Other orders as percentage of total other orders: 0.00%

7. AlterNet Securities Inc.

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market: 1.41%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

8. Direct Edge Exchange

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market: 0.00%
   - Limit orders as percentage of total limit orders: 9.88%
   - Other orders as percentage of total other orders: 0.00%

9. BATS Exchange, Inc.

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market: 0.00%
   - Limit orders as percentage of total limit orders: 8.64%
   - Other orders as percentage of total other orders: 0.00%

10. NYSE Arca

    Types of Orders Routed to Venue:
    - Market orders as percentage of total market: 0.00%
    - Limit orders as percentage of total limit orders: 8.02%
    - Other orders as percentage of total other orders: 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 84.33%
- Limit orders as percentage of total non-directed orders: 12.90%
- Other orders as percentage of total non-directed orders: 2.78%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. UBS Securities, LLC: 27.58%
2. Citadel Derivatives Group LLC: 20.83%
3. Knight Equity Markets, L.P.: 19.25%
4. National Financial Services LLC: 18.85%
5. Direct Edge Exchange: 3.97%
6. Citigroup Global Markets, Inc.: 3.97%
7. NYSE Arca: 1.59%
8. BATS Exchange, Inc.: 1.39%
9. AlterNet Securities Inc.: 0.99%
10. Goldman, Sachs & Co.: 0.99%

Information Concerning Significant Venues:

1. UBS Securities, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market: 29.41%
     - Limit orders as percentage of total limit orders: 16.92%
     - Other orders as percentage of total other orders: 21.43%

2. Citadel Derivatives Group LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market: 21.88%
     - Limit orders as percentage of total limit orders: 16.92%
     - Other orders as percentage of total other orders: 7.14%

3. Knight Equity Markets, L.P.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market: 19.53%
     - Limit orders as percentage of total limit orders: 6.15%
     - Other orders as percentage of total other orders: 71.43%

4. National Financial Services LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market: 21.65%
     - Limit orders as percentage of total limit orders: 4.62%
     - Other orders as percentage of total other orders: 0.00%

5. Direct Edge Exchange
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market: 0.00%
     - Limit orders as percentage of total limit orders: 30.77%
     - Other orders as percentage of total other orders: 0.00%

Types of Orders Routed to Venue:

Market orders as percentage of total market: 4.47%
Limit orders as percentage of total limit orders: 1.54%
Other orders as percentage of total other orders: 0.00%

7. NYSE Arca

Types of Orders Routed to Venue:

Market orders as percentage of total market: 0.00%
Limit orders as percentage of total limit orders: 12.31%
Other orders as percentage of total other orders: 0.00%

8. BATS Exchange, Inc.

Types of Orders Routed to Venue:

Market orders as percentage of total market: 0.00%
Limit orders as percentage of total limit orders: 10.77%
Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

Market orders as percentage of total market: 1.18%
Limit orders as percentage of total limit orders: 0.00%
Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

Market orders as percentage of total market: 1.18%
Limit orders as percentage of total limit orders: 0.00%
Other orders as percentage of total other orders: 0.00%
Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 83.62%
Limit orders as percentage of total non-directed orders 12.38%
Other orders as percentage of total non-directed orders 4.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Knight Capital Markets, LLC 40.57%
2. Citadel Derivatives Group LLC 23.05%
3. National Financial Services LLC 16.38%
4. UBS Securities, LLC 13.33%
5. NYSE Arca 2.10%
6. Direct Edge Exchange 2.10%
7. BATS Exchange, Inc. 0.95%
8. Citigroup Global Markets, Inc. 0.95%
9. AlterNet Securities Inc. 0.19%
10. BNY ConvergEx Execution Solutions L.L.C. 0.19%

Information Concerning Significant Venues:

1. Knight Capital Markets, LLC
   
   Types of Orders Routed to Venue:
   
<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>41.69%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>26.15%</td>
</tr>
<tr>
<td>Other orders</td>
<td>61.90%</td>
</tr>
</tbody>
</table>

2. Citadel Derivatives Group LLC
   
   Types of Orders Routed to Venue:
   
<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>25.74%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>10.77%</td>
</tr>
<tr>
<td>Other orders</td>
<td>4.76%</td>
</tr>
</tbody>
</table>

3. National Financial Services LLC
   
   Types of Orders Routed to Venue:
   
<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>19.13%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>3.08%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

4. UBS Securities, LLC
   
   Types of Orders Routed to Venue:
   
<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>11.62%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>18.46%</td>
</tr>
<tr>
<td>Other orders</td>
<td>33.33%</td>
</tr>
</tbody>
</table>

5. NYSE Arca
   
   Types of Orders Routed to Venue:
   
<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>16.92%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>
6. Direct Edge Exchange
Types of Orders Routed to Venue:
- Market orders as percentage of total market: 0.00%
- Limit orders as percentage of total limit orders: 16.92%
- Other orders as percentage of total other orders: 0.00%

7. BATS Exchange, Inc.
Types of Orders Routed to Venue:
- Market orders as percentage of total market: 0.00%
- Limit orders as percentage of total limit orders: 7.69%
- Other orders as percentage of total other orders: 0.00%

Types of Orders Routed to Venue:
- Market orders as percentage of total market: 1.14%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

Types of Orders Routed to Venue:
- Market orders as percentage of total market: 0.23%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. BNY ConvergEx Execution Solutions L.L.C.
Types of Orders Routed to Venue:
- Market orders as percentage of total market: 0.23%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
## Summary Statistics:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>