Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2011

Securities Listed on New York Stock Exchange

Summary Statistics:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage of Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>80.07%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>16.28%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>3.65%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

<table>
<thead>
<tr>
<th>Venue</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Knight Capital Markets, L.L.C.</td>
<td>28.70%</td>
</tr>
<tr>
<td>National Financial Services, L.L.C.</td>
<td>22.39%</td>
</tr>
<tr>
<td>Citadel Derivatives Group LLC</td>
<td>16.74%</td>
</tr>
<tr>
<td>UBS Securities, L.L.C.</td>
<td>14.22%</td>
</tr>
<tr>
<td>Citigroup Global Markets, Inc.</td>
<td>5.25%</td>
</tr>
<tr>
<td>Direct Edge Exchange</td>
<td>4.19%</td>
</tr>
<tr>
<td>Goldman, Sachs &amp; Co.</td>
<td>2.99%</td>
</tr>
<tr>
<td>AlterNet Securities Inc.</td>
<td>1.59%</td>
</tr>
<tr>
<td>BATS Exchange, Inc.</td>
<td>1.46%</td>
</tr>
<tr>
<td>Surge Trading, Inc.</td>
<td>1.20%</td>
</tr>
</tbody>
</table>

Information Concerning Significant Venues:

1. Knight Capital Markets, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders | 27.80%
   - Limit orders as percentage of total limit orders | 21.63%
   - Other orders as percentage of total other orders | 80.00%

2. National Financial Services, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders | 27.22%
   - Limit orders as percentage of total limit orders | 3.67%
   - Other orders as percentage of total other orders | 0.00%
3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 17.84%
- Limit orders as percentage of total limit orders: 15.10%
- Other orders as percentage of total other orders: 0.00%

4. UBS Securities, L.L.C.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 14.11%
- Limit orders as percentage of total limit orders: 14.69%
- Other orders as percentage of total other orders: 14.55%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 5.31%
- Limit orders as percentage of total limit orders: 4.90%
- Other orders as percentage of total other orders: 5.45%

6. Direct Edge Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 25.71%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.73%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. AlterNet Securities Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.99%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. BATS Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 8.98%
- Other orders as percentage of total other orders: 0.00%

10. Surge Trading, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.49%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 78.71%
Limit orders as percentage of total non-directed orders 17.90%
Other orders as percentage of total non-directed orders 3.39%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. UBS Securities, L.L.C. 27.74%
2. Citadel Derivatives Group LLC 21.94%
3. Knight Equity Markets, L.P. 20.16%
4. National Financial Services, L.L.C. 18.71%
5. Citigroup Global Markets, Inc. 3.71%
6. Direct Edge Exchange 2.90%
7. AlterNet Securities Inc. 1.61%
8. Goldman, Sachs & Co. 0.97%
9. BATS Exchange, Inc. 0.81%
10. Instinet L.L.C. 0.65%

Information Concerning Significant Venues :

1. UBS Securities, L.L.C.
   Types of Orders Routed to Venue :
   - Market orders as percentage of total market orders 27.66%
   - Limit orders as percentage of total limit orders 28.83%
   - Other orders as percentage of total other orders 23.81%

2. Citadel Derivatives Group LLC
   Types of Orders Routed to Venue :
   - Market orders as percentage of total market orders 21.72%
   - Limit orders as percentage of total limit orders 27.03%
   - Other orders as percentage of total other orders 0.00%

3. Knight Equity Markets, L.P.
   Types of Orders Routed to Venue :
   - Market orders as percentage of total market orders 19.47%
   - Limit orders as percentage of total limit orders 13.51%
   - Other orders as percentage of total other orders 71.43%

4. National Financial Services, L.L.C.
   Types of Orders Routed to Venue :
   - Market orders as percentage of total market orders 22.95%
   - Limit orders as percentage of total limit orders 3.60%
   - Other orders as percentage of total other orders 0.00%

   Types of Orders Routed to Venue :
   - Market orders as percentage of total market orders 3.89%
   - Limit orders as percentage of total limit orders 2.70%
   - Other orders as percentage of total other orders 4.76%
6. Direct Edge Exchange

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 16.22%
- Other orders as percentage of total other orders: 0.00%

7. AlterNet Securities Inc.

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 2.05%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 1.23%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. BATS Exchange, Inc.

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 4.50%
- Other orders as percentage of total other orders: 0.00%

10. Instinet L.L.C.

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.82%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders: 100.00%
Market orders as percentage of total non-directed orders: 69.18%
Limit orders as percentage of total non-directed orders: 23.46%
Other orders as percentage of total non-directed orders: 7.36%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Knight Capital Markets, L.L.C. 34.39%
2. Citadel Derivatives Group LLC 23.26%
3. National Financial Services, L.L.C. 15.31%
4. UBS Securities, L.L.C. 14.31%
5. Direct Edge Exchange 7.36%
6. BATS Exchange, Inc. 3.18%
7. NYSE Arca 0.80%
8. Citigroup Global Markets, Inc. 0.80%
9. AlterNet Securities Inc. 0.20%
10. GETCO Execution Services L.L.C. 0.20%

Information Concerning Significant Venues:

1. Knight Capital Markets, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 33.05%
   - Limit orders as percentage of total limit orders: 30.51%
   - Other orders as percentage of total other orders: 59.46%

2. Citadel Derivatives Group LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 30.75%
   - Limit orders as percentage of total limit orders: 8.47%
   - Other orders as percentage of total other orders: 0.00%

3. National Financial Services, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 21.55%
   - Limit orders as percentage of total limit orders: 1.69%
   - Other orders as percentage of total other orders: 0.00%

4. UBS Securities, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 13.22%
   - Limit orders as percentage of total limit orders: 9.32%
   - Other orders as percentage of total other orders: 40.54%

5. Direct Edge Exchange
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 0.00%
   - Limit orders as percentage of total limit orders: 31.36%
   - Other orders as percentage of total other orders: 0.00%
6. BATS Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 13.56%
- Other orders as percentage of total other orders: 0.00%

7. NYSE Arca

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 3.39%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.86%
- Limit orders as percentage of total limit orders: 0.85%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.29%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. GETCO Execution Services L.L.C.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.29%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
**Exchange - Listed Options**

Summary Statistics:

<table>
<thead>
<tr>
<th>Description</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
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<td>Market orders as percentage of total non-directed orders</td>
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</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
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