Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2010

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 78.96%
Limit orders as percentage of total non-directed orders 17.09%
Other orders as percentage of total non-directed orders 3.96%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. National Financial Services, L.L.C. 27.02%
2. Knight Capital Markets, L.L.C. 24.92%
3. Citadel Derivatives Group LLC 17.17%
4. UBS Securities, L.L.C. 16.67%
5. Citigroup Global Markets, Inc. 9.76%
6. Direct Edge Exchange 1.60%
7. Surge Trading, Inc. 1.01%
8. Goldman, Sachs & Co. 0.67%
9. BATS Exchange, Inc. 0.51%
10. AlterNet Securities Inc. 0.17%

Information Concerning Significant Venues:

1. National Financial Services, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 27.61%
   - Limit orders as percentage of total limit orders 30.54%
   - Other orders as percentage of total other orders 0.00%

2. Knight Capital Markets, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 24.41%
   - Limit orders as percentage of total limit orders 22.17%
   - Other orders as percentage of total other orders 46.81%
3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>19.72%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>9.36%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

4. UBS Securities, L.L.C.

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>17.27%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>13.79%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>17.02%</td>
</tr>
</tbody>
</table>


Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>8.42%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>9.85%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>36.17%</td>
</tr>
</tbody>
</table>

6. Direct Edge Exchange

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>9.36%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

7. Surge Trading, Inc.

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>1.17%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>0.49%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>


Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.85%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

9. BATS Exchange, Inc.

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>2.96%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

10. AlterNet Securities Inc.

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.21%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>
Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 78.67%
Limit orders as percentage of total non-directed orders 16.28%
Other orders as percentage of total non-directed orders 5.05%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. UBS Securities, L.L.C.               28.90%
2. National Financial Services, L.L.C.               21.33%
3. Citadel Derivatives Group LLC               20.18%
4. Knight Equity Markets, L.P.               17.66%
5. Citigroup Global Markets, Inc.                 7.80%
6. BATS Exchange, Inc.                 1.83%
7. Direct Edge Exchange                 0.69%
8. Goldman, Sachs & Co.                 0.46%
9. Instinet L.L.C.                 0.46%
10. NYSE Arca                 0.23%

Information Concerning Significant Venues:

1. UBS Securities, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders               28.57%
   - Limit orders as percentage of total limit orders               28.17%
   - Other orders as percentage of total other orders               36.36%

2. National Financial Services, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders               21.57%
   - Limit orders as percentage of total limit orders               26.76%
   - Other orders as percentage of total other orders                 0.00%

3. Citadel Derivatives Group LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders               22.45%
   - Limit orders as percentage of total limit orders               15.49%
   - Other orders as percentage of total other orders                 0.00%

4. Knight Equity Markets, L.P.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders               17.49%
   - Limit orders as percentage of total limit orders                 7.04%
   - Other orders as percentage of total other orders               54.55%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders               8.75%
   - Limit orders as percentage of total limit orders                 2.82%
   - Other orders as percentage of total other orders               9.09%

Page 3 of 7
6. BATS Exchange, Inc.

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 11.27%
- Other orders as percentage of total other orders: 0.00%

7. Direct Edge Exchange

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 4.23%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.58%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. Instinet L.L.C.

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.29%
- Limit orders as percentage of total limit orders: 1.41%
- Other orders as percentage of total other orders: 0.00%

10. NYSE Arca

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 1.41%
- Other orders as percentage of total other orders: 0.00%
**Summary Statistics:**

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 69.49%
- Limit orders as percentage of total non-directed orders: 19.34%
- Other orders as percentage of total non-directed orders: 11.18%

**Venues Receiving Significant Percentage of Total Non-Directed Orders:**

1. Knight Capital Markets, L.L.C. 35.05%
2. National Financial Services, L.L.C. 20.54%
3. UBS Securities, L.L.C. 20.24%
4. Citadel Derivatives Group LLC 19.34%
5. Citigroup Global Markets, Inc. 2.42%
6. Direct Edge Exchange 1.51%
7. BATS Exchange, Inc. 0.91%

**Information Concerning Significant Venues:**

1. **Knight Capital Markets, L.L.C.**
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 34.78%
     - Limit orders as percentage of total limit orders: 31.25%
     - Other orders as percentage of total other orders: 43.24%

2. **National Financial Services, L.L.C.**
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 23.04%
     - Limit orders as percentage of total limit orders: 23.44%
     - Other orders as percentage of total other orders: 0.00%

3. **UBS Securities, L.L.C.**
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 13.91%
     - Limit orders as percentage of total limit orders: 21.88%
     - Other orders as percentage of total other orders: 56.76%

4. **Citadel Derivatives Group LLC**
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 24.78%
     - Limit orders as percentage of total limit orders: 10.94%
     - Other orders as percentage of total other orders: 0.00%

5. **Citigroup Global Markets, Inc.**
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 3.48%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%
6. Direct Edge Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 7.81%
- Other orders as percentage of total other orders: 0.00%

7. BATS Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 4.69%
- Other orders as percentage of total other orders: 0.00%
<table>
<thead>
<tr>
<th>Summary Statistics</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>