Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>91.41%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>6.52%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>2.07%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC 57.71%
2. VIRTU Americas LLC 31.80%
3. G1 Execution Services, LLC 4.61%
4. UBS Securities, LLC 1.43%
5. New York Stock Exchange 1.11%
6. Two Sigma Securities, LLC 0.95%
7. Credit Suisse Securities (USA) LLC 0.64%
8. Allstate Financial Services, LLC 0.64%
9. NASDAQ Execution Services, LLC 0.48%
10. Cboe EDGX U.S. Equities Exchange 0.32%

Information Concerning Significant Venues:

1. Citadel Securities LLC

   Types of Orders Routed to Venue:
   - Market orders as percentage of total non-directed orders 59.65%
   - Limit orders as percentage of total non-directed orders 43.90%
   - Other orders as percentage of total non-directed orders 15.38%

2. VIRTU Americas LLC

   Types of Orders Routed to Venue:
   - Market orders as percentage of total non-directed orders 32.70%
   - Limit orders as percentage of total non-directed orders 24.39%
   - Other orders as percentage of total non-directed orders 15.38%
3. G1 Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total non-directed orders: 4.87%
- Limit orders as percentage of total non-directed orders: 2.44%
- Other orders as percentage of total non-directed orders: 0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total non-directed orders: 1.22%
- Limit orders as percentage of total non-directed orders: 0.00%
- Other orders as percentage of total non-directed orders: 15.38%

5. New York Stock Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total non-directed orders: 0.00%
- Limit orders as percentage of total non-directed orders: 17.07%
- Other orders as percentage of total non-directed orders: 0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total non-directed orders: 0.87%
- Limit orders as percentage of total non-directed orders: 2.44%
- Other orders as percentage of total non-directed orders: 0.00%

7. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total non-directed orders: 0.00%
- Limit orders as percentage of total non-directed orders: 0.00%
- Other orders as percentage of total non-directed orders: 30.77%

8. Allstate Financial Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total non-directed orders: 0.70%
- Limit orders as percentage of total non-directed orders: 0.00%
- Other orders as percentage of total non-directed orders: 0.00%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total non-directed orders: 0.00%
- Limit orders as percentage of total non-directed orders: 4.88%
- Other orders as percentage of total non-directed orders: 7.69%

10. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total non-directed orders: 0.00%
- Limit orders as percentage of total non-directed orders: 4.88%
- Other orders as percentage of total non-directed orders: 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 73.97%
- Limit orders as percentage of total non-directed orders: 23.56%
- Other orders as percentage of total non-directed orders: 2.47%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC: 43.29%
2. VIRTU Americas LLC: 27.12%
3. NASDAQ Execution Services, LLC: 18.36%
4. G1 Execution Services, LLC: 6.03%
5. Cboe EDGX U.S. Equities Exchange: 2.19%
6. Two Sigma Securities, LLC: 0.82%
7. UBS Securities, LLC: 0.82%
8. Cboe BZX U.S. Equities Exchange: 0.55%
9. Morgan Stanley & Co. Incorporated: 0.27%
10. Other: 0.27%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total non-directed orders: 57.04%
     - Limit orders as percentage of total non-directed orders: 3.49%
     - Other orders as percentage of total non-directed orders: 11.11%

2. VIRTU Americas LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total non-directed orders: 33.33%
     - Limit orders as percentage of total non-directed orders: 4.65%
     - Other orders as percentage of total non-directed orders: 55.56%

3. NASDAQ Execution Services, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total non-directed orders: 0.00%
     - Limit orders as percentage of total non-directed orders: 77.91%
     - Other orders as percentage of total non-directed orders: 0.00%

4. G1 Execution Services, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total non-directed orders: 8.15%
     - Limit orders as percentage of total non-directed orders: 0.00%
     - Other orders as percentage of total non-directed orders: 0.00%
5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage of Total Non-Directed Orders</th>
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</thead>
<tbody>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>9.30%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

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<tr>
<th>Type of Order</th>
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</thead>
<tbody>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>1.11%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

7. UBS Securities, LLC

Types of Orders Routed to Venue:

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<thead>
<tr>
<th>Type of Order</th>
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</thead>
<tbody>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>0.37%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>2.33%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
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8. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue:

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<tr>
<td>Market orders as percentage of total non-directed orders</td>
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</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>1.16%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>11.11%</td>
</tr>
</tbody>
</table>

9. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue:

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<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>0.00%</td>
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<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>11.11%</td>
</tr>
</tbody>
</table>

10. Other

Types of Orders Routed to Venue:

<table>
<thead>
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<th>Percentage of Total Non-Directed Orders</th>
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</thead>
<tbody>
<tr>
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<td>1.16%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 98.33%
- Limit orders as percentage of total non-directed orders: 1.67%
- Other orders as percentage of total non-directed orders: 0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC: 58.89%
2. VIRTU Americas LLC: 34.44%
3. G1 Execution Services, LLC: 3.89%
4. UBS Securities, LLC: 1.67%
5. NYSE Arca: 1.11%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total non-directed orders: 59.32%
   - Limit orders as percentage of total non-directed orders: 33.33%
   - Other orders as percentage of total non-directed orders: 0.00%

2. VIRTU Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total non-directed orders: 35.03%
   - Limit orders as percentage of total non-directed orders: 0.00%
   - Other orders as percentage of total non-directed orders: 0.00%

3. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total non-directed orders: 3.95%
   - Limit orders as percentage of total non-directed orders: 0.00%
   - Other orders as percentage of total non-directed orders: 0.00%

4. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total non-directed orders: 1.69%
   - Limit orders as percentage of total non-directed orders: 0.00%
   - Other orders as percentage of total non-directed orders: 0.00%
5. NYSE Arca

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>66.67%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>
### Summary Statistics:

- Non-directed orders as percentage of total customer orders: 0.00%
- Market orders as percentage of total non-directed orders: 0.00%
- Limit orders as percentage of total non-directed orders: 0.00%
- Other orders as percentage of total non-directed orders: 0.00%