Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2018

Securities Listed on New York Stock Exchange

Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total market orders: 92.75%
- Limit orders as percentage of total limit orders: 4.63%
- Other orders as percentage of total other orders: 2.62%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC: 53.07%
2. G1 Execution Services, LLC: 20.64%
3. VIRTU Americas LLC: 16.11%
4. Two Sigma Securities, LLC: 6.04%
5. New York Stock Exchange: 1.61%
6. NASDAQ Execution Services, LLC: 1.01%
7. UBS Securities, LLC: 0.70%
8. Cboe EDGX U.S. Equities Exchange: 0.30%
9. Credit Suisse Securities (USA) LLC: 0.20%
10. Investors Exchange: 0.20%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 55.16%
   - Limit orders as percentage of total limit orders: 21.74%
   - Other orders as percentage of total other orders: 34.62%

2. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 22.04%
   - Limit orders as percentage of total limit orders: 4.35%
   - Other orders as percentage of total other orders: 0.00%
3. **VIRTU Americas LLC**

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th></th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>15.96%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>8.70%</td>
</tr>
<tr>
<td>Other orders</td>
<td>34.62%</td>
</tr>
</tbody>
</table>

4. **Two Sigma Securities, LLC**

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th></th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>6.08%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>2.17%</td>
</tr>
<tr>
<td>Other orders</td>
<td>11.54%</td>
</tr>
</tbody>
</table>

5. **New York Stock Exchange**

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th></th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>34.78%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

6. **NASDAQ Execution Services, LLC**

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th></th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>21.74%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

7. **UBS Securities, LLC**

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th></th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>0.76%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

8. **Cboe EDGX U.S. Equities Exchange**

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th></th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>6.52%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

9. **Credit Suisse Securities (USA) LLC**

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th></th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders</td>
<td>7.69%</td>
</tr>
</tbody>
</table>

10. **Investors Exchange**

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th></th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders</td>
<td>7.69%</td>
</tr>
</tbody>
</table>
Securities Listed on The Nasdaq Stock Market

Summary Statistics:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>90.35%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>6.84%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>2.81%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC | 52.11%
2. VIRTU Americas LLC    | 19.65%
3. G1 Execution Services, LLC | 18.95%
4. Two Sigma Securities, LLC | 4.21%
5. NASDAQ Execution Services, LLC | 2.98%
6. Cboe EDGX U.S. Equities Exchange | 1.05%
7. UBS Securities, LLC    | 0.70%
8. Credit Suisse Securities (USA) LLC | 0.18%
9. Cboe BZX U.S. Equities Exchange | 0.18%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders | 53.98%
     - Limit orders as percentage of total limit orders | 20.51%
     - Other orders as percentage of total other orders | 68.75%

2. VIRTU Americas LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders | 20.00%
     - Limit orders as percentage of total limit orders | 15.38%
     - Other orders as percentage of total other orders | 18.75%

3. G1 Execution Services, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders | 20.58%
     - Limit orders as percentage of total limit orders | 5.13%
     - Other orders as percentage of total other orders | 0.00%

4. Two Sigma Securities, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders | 4.66%
     - Limit orders as percentage of total limit orders | 0.00%
     - Other orders as percentage of total other orders | 0.00%
5. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 43.59%
- Other orders as percentage of total other orders: 0.00%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 15.38%
- Other orders as percentage of total other orders: 0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.78%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 6.25%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 6.25%
Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total market orders 97.89%
Limit orders as percentage of total limit orders 1.06%
Other orders as percentage of total other orders 1.06%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC 55.94%
2. G1 Execution Services, LLC 20.32%
3. VIRTU Americas LLC 16.62%
4. Two Sigma Securities, LLC 4.75%
5. UBS Securities, LLC 0.79%
6. NYSE American 0.26%
7. NYSE Arca 0.26%
8. Credit Suisse Securities (USA) LLC 0.26%
9. Investors Exchange 0.26%
10. NASDAQ Execution Services, LLC 0.26%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 56.87%
   - Limit orders as percentage of total limit orders 25.00%
   - Other orders as percentage of total other orders 0.00%

2. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 20.75%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%

3. VIRTU Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 16.71%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 25.00%

4. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 4.85%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%
5. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.81%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. NYSE American

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 25.00%
- Other orders as percentage of total other orders: 0.00%

7. NYSE Arca

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 25.00%

8. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 25.00%

9. Investors Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 25.00%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 25.00%
- Other orders as percentage of total other orders: 0.00%
**Exchange - Listed Options**

**Summary Statistics:**
- Non-directed orders as percentage of total customer orders: 0.00%
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%