Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2017

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total market orders 91.93%
Limit orders as percentage of total limit orders 5.68%
Other orders as percentage of total other orders 2.39%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC 40.23%
2. VIRTU Americas LLC 37.61%
3. Two Sigma Securities, LLC 7.84%
4. G1 Execution Services, LLC 4.66%
5. BATS BYX Exchange 2.95%
6. Instinet LLC 1.93%
7. New York Stock Exchange 1.14%
8. BNY Mellon Capital Markets LLC 0.80%
9. Cboe EDGX U.S. Equities Exchange 0.80%
10. UBS Securities, LLC 0.80%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 41.29%
   Limit orders as percentage of total limit orders 30.00%
   Other orders as percentage of total other orders 23.81%

2. VIRTU Americas LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 38.44%
   Limit orders as percentage of total limit orders 22.00%
   Other orders as percentage of total other orders 42.86%
3. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 8.53%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

4. G1 Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 5.07%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.09%
- Limit orders as percentage of total limit orders: 2.00%
- Other orders as percentage of total other orders: 0.00%

6. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.10%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. New York Stock Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 20.00%
- Other orders as percentage of total other orders: 0.00%

8. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.87%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 14.00%
- Other orders as percentage of total other orders: 0.00%

10. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.62%
- Limit orders as percentage of total limit orders: 2.00%
- Other orders as percentage of total other orders: 4.76%
Securities Listed on The Nasdaq Stock Market

Summary Statistics:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>87.14%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>9.39%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>3.47%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC | 37.76%
2. VIRTU Americas LLC    | 36.53%
3. Two Sigma Securities, LLC | 8.98%
4. NASDAQ Execution Services, LLC | 4.90%
5. G1 Execution Services, LLC | 4.49%
6. Cboe EDGX U.S. Equities Exchange | 1.84%
7. BATS BYX Exchange      | 1.84%
8. BNY Mellon Capital Markets LLC | 1.02%
9. Instinet LLC           | 0.82%
10. Cboe BZX U.S. Equities Exchange | 0.82%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders | 40.28%
   - Limit orders as percentage of total limit orders  | 6.52%
   - Other orders as percentage of total other orders  | 58.82%

2. VIRTU Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders | 39.58%
   - Limit orders as percentage of total limit orders  | 10.87%
   - Other orders as percentage of total other orders  | 29.41%

3. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders | 10.07%
   - Limit orders as percentage of total limit orders  | 2.17%
   - Other orders as percentage of total other orders  | 0.00%

4. NASDAQ Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders | 0.00%
   - Limit orders as percentage of total limit orders  | 50.00%
   - Other orders as percentage of total other orders  | 5.88%
5. G1 Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 5.15%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 19.57%
- Other orders as percentage of total other orders: 0.00%

7. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.87%
- Limit orders as percentage of total limit orders: 2.17%
- Other orders as percentage of total other orders: 0.00%

8. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.17%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.94%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 8.70%
- Other orders as percentage of total other orders: 0.00%
**Securities Listed on NYSE MKT or Regional Exchanges**

**Summary Statistics:**

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>99.66%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.34%</td>
</tr>
</tbody>
</table>

**Venues Receiving Significant Percentage of Total Non-Directed Orders:**

<table>
<thead>
<tr>
<th>Venue</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. VIRTU Americas LLC</td>
<td>39.06%</td>
</tr>
<tr>
<td>2. Citadel Securities LLC</td>
<td>36.70%</td>
</tr>
<tr>
<td>3. Two Sigma Securities, LLC</td>
<td>8.75%</td>
</tr>
<tr>
<td>4. G1 Execution Services, LLC</td>
<td>6.40%</td>
</tr>
<tr>
<td>5. BATS BYX Exchange</td>
<td>5.05%</td>
</tr>
<tr>
<td>6. BNY Mellon Capital Markets LLC</td>
<td>1.68%</td>
</tr>
<tr>
<td>7. Instinet LLC</td>
<td>1.68%</td>
</tr>
<tr>
<td>8. New York Stock Exchange</td>
<td>0.34%</td>
</tr>
<tr>
<td>9. UBS Securities, LLC</td>
<td>0.34%</td>
</tr>
</tbody>
</table>

**Information Concerning Significant Venues:**

1. **VIRTU Americas LLC**
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 38.85%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 100.00%

2. **Citadel Securities LLC**
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 36.82%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%

3. **Two Sigma Securities, LLC**
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 8.78%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%

4. **G1 Execution Services, LLC**
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 6.42%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%
5. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 5.07%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.69%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.69%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. New York Stock Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.34%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.34%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
### Summary Statistics:

<table>
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<tr>
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<td>0.00%</td>
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<td>0.00%</td>
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