Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2017

Securities Listed on New York Stock Exchange

Summary Statistics:

<table>
<thead>
<tr>
<th></th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders</td>
<td>90.09%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>8.02%</td>
</tr>
<tr>
<td>Other orders</td>
<td>1.90%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC 41.40%
2. VIRTU Americas LLC 34.84%
3. Two Sigma Securities, LLC 4.96%
4. G1 Execution Services, LLC 4.81%
5. BATS BYX Exchange 3.79%
6. Instinet LLC 2.92%
7. EDGX Exchange, Inc. 1.90%
8. New York Stock Exchange 1.46%
9. BATS BZX Exchange 1.17%
10. UBS Securities, LLC 0.87%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 43.53%
   - Limit orders as percentage of total limit orders 14.55%
   - Other orders as percentage of total other orders 53.85%

2. VIRTU Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 36.89%
   - Limit orders as percentage of total limit orders 18.18%
   - Other orders as percentage of total other orders 7.69%
3. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 5.34%
- Limit orders as percentage of total limit orders: 1.82%
- Other orders as percentage of total other orders: 0.00%

4. G1 Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 5.34%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 4.05%
- Limit orders as percentage of total limit orders: 1.82%
- Other orders as percentage of total other orders: 0.00%

6. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.07%
- Limit orders as percentage of total limit orders: 1.82%
- Other orders as percentage of total other orders: 0.00%

7. EDGX Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 23.64%
- Other orders as percentage of total other orders: 0.00%

8. New York Stock Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 18.18%
- Other orders as percentage of total other orders: 0.00%

9. BATS BZX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 12.73%
- Other orders as percentage of total other orders: 7.69%

10. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.97%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total market orders 84.26%
Limit orders as percentage of total limit orders 13.08%
Other orders as percentage of total other orders 2.66%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. VIRTU Americas LLC 38.50%
2. Citadel Securities LLC 34.38%
3. Two Sigma Securities, LLC 6.05%
4. G1 Execution Services, LLC 5.33%
5. BATS BZX Exchange 4.12%
6. NASDAQ Execution Services, LLC 3.15%
7. EDGX Exchange, Inc. 2.66%
8. BATS BYX Exchange 2.18%
9. Instinet LLC 1.45%
10. UBS Securities, LLC 0.97%

Information Concerning Significant Venues:

1. VIRTU Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 42.82%
   - Limit orders as percentage of total limit orders 9.26%
   - Other orders as percentage of total other orders 45.45%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 37.93%
   - Limit orders as percentage of total limit orders 12.96%
   - Other orders as percentage of total other orders 27.27%

3. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 6.90%
   - Limit orders as percentage of total limit orders 1.85%
   - Other orders as percentage of total other orders 0.00%

4. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 5.75%
   - Limit orders as percentage of total limit orders 3.70%
   - Other orders as percentage of total other orders 0.00%
5.  BATS BZX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 27.78%
- Other orders as percentage of total other orders: 18.18%

6.  NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 24.07%
- Other orders as percentage of total other orders: 0.00%

7.  EDGX Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 20.37%
- Other orders as percentage of total other orders: 0.00%

8.  BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.59%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9.  Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.72%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.15%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

Non-directed orders as percentage of total customer orders   100.00%
Market orders as percentage of total market orders     96.63%
Limit orders as percentage of total limit orders        2.40%
Other orders as percentage of total other orders        0.96%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. VIRTU Americas LLC 39.90%
2. Citadel Securities LLC 39.42%
3. G1 Execution Services, LLC 5.77%
4. Two Sigma Securities, LLC 5.77%
5. BATS BYX Exchange 4.33%
6. Instinet LLC 1.44%
7. BNY Mellon Capital Markets LLC 0.96%
8. EDGX Exchange, Inc. 0.96%
9. Jane Street Capital, LLC 0.48%
10. Morgan Stanley & Co. Incorporated 0.48%

Information Concerning Significant Venues:

1. VIRTU Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 40.30%
   - Limit orders as percentage of total limit orders 40.00%
   - Other orders as percentage of total other orders 0.00%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 40.30%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 50.00%

3. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 5.97%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%

4. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 5.97%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%
5. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 4.48%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.49%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. EDGX Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 40.00%
- Other orders as percentage of total other orders: 0.00%

9. Jane Street Capital, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 50.00%

10. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.50%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
### Summary Statistics:

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