Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2017

Securities Listed on New York Stock Exchange

Summary Statistics:

<table>
<thead>
<tr>
<th>Summary Statistics</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>95.05%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>4.40%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.55%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC 40.00%
2. KCG Americas LLC 38.13%
3. G1 Execution Services, LLC 6.81%
4. Instinet LLC 3.74%
5. BATS BYX Exchange 3.63%
6. Two Sigma Securities, LLC 3.19%
7. UBS Securities, LLC 1.65%
8. BATS BZX Exchange 1.21%
9. EDGX Exchange, Inc. 0.66%
10. BNY Mellon Capital Markets LLC 0.44%

Information Concerning Significant Venues:

1. Citadel Securities LLC

   Types of Orders Routed to Venue:

   - Market orders as percentage of total market orders 40.12%
   - Limit orders as percentage of total limit orders 32.50%
   - Other orders as percentage of total other orders 80.00%

2. KCG Americas LLC

   Types of Orders Routed to Venue:

   - Market orders as percentage of total market orders 39.54%
   - Limit orders as percentage of total limit orders 12.50%
   - Other orders as percentage of total other orders 0.00%
3. G1 Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 7.05%
- Limit orders as percentage of total limit orders: 2.50%
- Other orders as percentage of total other orders: 0.00%

4. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.93%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.82%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.24%
- Limit orders as percentage of total limit orders: 2.50%
- Other orders as percentage of total other orders: 0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.73%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. BATS BZX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 27.50%
- Other orders as percentage of total other orders: 0.00%

9. EDGX Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 15.00%
- Other orders as percentage of total other orders: 0.00%

10. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.46%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total market orders: 89.24%
- Limit orders as percentage of total limit orders: 5.62%
- Other orders as percentage of total other orders: 5.13%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC: 43.77%
2. KCG Americas LLC: 40.59%
3. Two Sigma Securities, LLC: 4.65%
4. G1 Execution Services, LLC: 2.69%
5. BATS BYX Exchange: 2.20%
6. Instinet LLC: 1.47%
7. UBS Securities, LLC: 1.47%
8. BATS BZX Exchange: 1.47%
9. EDGX Exchange, Inc.: 0.73%
10. NASDAQ Execution Services, LLC: 0.73%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 45.75%
     - Limit orders as percentage of total limit orders: 26.09%
     - Other orders as percentage of total other orders: 28.57%

2. KCG Americas LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 40.00%
     - Limit orders as percentage of total limit orders: 21.74%
     - Other orders as percentage of total other orders: 71.43%

3. Two Sigma Securities, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 5.21%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%

4. G1 Execution Services, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 3.01%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%
5. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.47%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.64%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.64%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. BATS BZX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 26.09%
- Other orders as percentage of total other orders: 0.00%

9. EDGX Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 13.04%
- Other orders as percentage of total other orders: 0.00%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 13.04%
- Other orders as percentage of total other orders: 0.00%
Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total market orders 98.73%
Limit orders as percentage of total limit orders 1.27%
Other orders as percentage of total other orders 0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC 42.37%
2. KCG Americas LLC 38.56%
3. Two Sigma Securities, LLC 5.51%
4. G1 Execution Services, LLC 4.24%
5. Instinet LLC 3.81%
6. BATS BYX Exchange 2.54%
7. UBS Securities, LLC 1.69%
8. NYSE MKT LLC 0.42%
9. NYSE Arca 0.42%
10. BNY Mellon Capital Markets LLC 0.42%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 42.92%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%

2. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 38.63%
   - Limit orders as percentage of total limit orders 33.33%
   - Other orders as percentage of total other orders 0.00%

3. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 5.58%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%

4. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 4.29%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%
5. Instinet LLC
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.86%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. BATS BYX Exchange
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.58%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. UBS Securities, LLC
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.72%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. NYSE MKT LLC
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 33.33%
- Other orders as percentage of total other orders: 0.00%

9. NYSE Arca
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 33.33%
- Other orders as percentage of total other orders: 0.00%

10. BNY Mellon Capital Markets LLC
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.43%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
### Exchange - Listed Options

#### Summary Statistics:

<table>
<thead>
<tr>
<th>Category</th>
<th>Percentage</th>
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