Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2017

Securities Listed on New York Stock Exchange

Summary Statistics :

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>91.60%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>6.60%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>1.80%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC        46.93%
2. Citadel Securities LLC  35.83%
3. G1 Execution Services, LLC 5.02%
4. Two Sigma Securities, LLC 3.30%
5. Instinet LLC           2.10%
6. BATS BZX Exchange      1.95%
7. BATS BYX Exchange      1.87%
8. UBS Securities, LLC    1.72%
9. EDGX Exchange, Inc.    0.45%
10. New York Stock Exchange 0.45%

Information Concerning Significant Venues :

1. KCG Americas LLC

   Types of Orders Routed to Venue :

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>49.26%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>26.14%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>4.17%</td>
</tr>
</tbody>
</table>

2. Citadel Securities LLC

   Types of Orders Routed to Venue :

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>36.25%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>25.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>54.17%</td>
</tr>
</tbody>
</table>
3. G1 Execution Services, LLC
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 5.07%
- Limit orders as percentage of total limit orders: 5.68%
- Other orders as percentage of total other orders: 0.00%

4. Two Sigma Securities, LLC
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.44%
- Limit orders as percentage of total limit orders: 2.27%
- Other orders as percentage of total other orders: 0.00%

5. Instinet LLC
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.29%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. BATS BZX Exchange
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 25.00%
- Other orders as percentage of total other orders: 16.67%

7. BATS BYX Exchange
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.05%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. UBS Securities, LLC
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.55%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 16.67%

9. EDGX Exchange, Inc.
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 6.82%
- Other orders as percentage of total other orders: 0.00%

10. New York Stock Exchange
Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 6.82%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total market orders: 92.69%
- Limit orders as percentage of total limit orders: 3.87%
- Other orders as percentage of total other orders: 3.44%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC: 44.09%
2. Citadel Securities LLC: 38.92%
3. Two Sigma Securities, LLC: 4.52%
4. G1 Execution Services, LLC: 3.66%
5. Instinet LLC: 2.58%
6. BATS BYX Exchange: 2.58%
7. EDGX Exchange, Inc.: 1.29%
8. UBS Securities, LLC: 1.29%
9. NASDAQ Execution Services, LLC: 0.86%
10. BATS BZX Exchange: 0.22%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 43.85%
   - Limit orders as percentage of total limit orders: 11.11%
   - Other orders as percentage of total other orders: 87.50%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 40.37%
   - Limit orders as percentage of total limit orders: 27.78%
   - Other orders as percentage of total other orders: 12.50%

3. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 4.87%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

4. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 3.94%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%
5. Instinet LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>2.78%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

6. BATS BYX Exchange

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>2.78%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

7. EDGX Exchange, Inc.

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>33.33%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

8. UBS Securities, LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>1.39%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>22.22%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

10. BATS BZX Exchange

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>5.56%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>
Summary Statistics:

<table>
<thead>
<tr>
<th>Description</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>97.12%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>2.31%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.58%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC 47.84%
2. Citadel Securities LLC 35.45%
3. G1 Execution Services, LLC 6.92%
4. Instinet LLC 3.46%
5. Two Sigma Securities, LLC 3.46%
6. BATS BYX Exchange 1.73%
7. UBS Securities, LLC 1.15%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 48.37%
   - Limit orders as percentage of total limit orders 37.50%
   - Other orders as percentage of total other orders 0.00%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 35.01%
   - Limit orders as percentage of total limit orders 37.50%
   - Other orders as percentage of total other orders 100.00%

3. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 6.53%
   - Limit orders as percentage of total limit orders 25.00%
   - Other orders as percentage of total other orders 0.00%

4. Instinet LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 3.56%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%
5. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.56%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.78%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.19%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
<table>
<thead>
<tr>
<th>Summary Statistics</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer</td>
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</tr>
<tr>
<td>orders</td>
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