Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2016

Securities Listed on New York Stock Exchange

Summary Statistics:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>91.24%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>5.21%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>3.55%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC 41.71%
2. KCG Americas LLC 39.94%
3. G1 Execution Services, LLC 5.42%
4. Two Sigma Securities, LLC 2.82%
5. BATS BYX Exchange 2.29%
6. Instinet LLC 1.88%
7. UBS Securities, LLC 1.77%
8. BATS BZX Exchange 1.46%
9. New York Stock Exchange 1.36%
10. EDGX Exchange, Inc. 0.73%

Information Concerning Significant Venues:

1. Citadel Securities LLC

   Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>43.54%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>4.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>50.00%</td>
</tr>
</tbody>
</table>

2. KCG Americas LLC

   Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>42.17%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>18.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>14.71%</td>
</tr>
</tbody>
</table>
3. **G1 Execution Services, LLC**

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 5.60%
   - Limit orders as percentage of total limit orders: 6.00%
   - Other orders as percentage of total other orders: 0.00%

4. **Two Sigma Securities, LLC**

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 3.09%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

5. **BATS BYX Exchange**

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.40%
   - Limit orders as percentage of total limit orders: 2.00%
   - Other orders as percentage of total other orders: 0.00%

6. **Instinet LLC**

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.06%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

7. **UBS Securities, LLC**

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 1.14%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 20.59%

8. **BATS BZX Exchange**

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 0.00%
   - Limit orders as percentage of total limit orders: 28.00%
   - Other orders as percentage of total other orders: 0.00%

9. **New York Stock Exchange**

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 0.00%
   - Limit orders as percentage of total limit orders: 26.00%
   - Other orders as percentage of total other orders: 0.00%

10. **EDGX Exchange, Inc.**

    Types of Orders Routed to Venue:
    - Market orders as percentage of total market orders: 0.00%
    - Limit orders as percentage of total limit orders: 14.00%
    - Other orders as percentage of total other orders: 0.00%
Summary Statistics:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders</td>
<td>90.88%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>6.35%</td>
</tr>
<tr>
<td>Other orders</td>
<td>2.76%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC                    44.48%
2. Citadel Securities LLC              40.61%
3. G1 Execution Services, LLC          5.80%
4. Two Sigma Securities, LLC           2.21%
5. EDGX Exchange, Inc.                 1.93%
6. Instinet LLC                        1.38%
7. BATS BZX Exchange                   1.38%
8. BATS BYX Exchange                   1.10%
9. NASDAQ Execution Services, LLC     0.55%
10. Investors Exchange                  0.28%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 45.59%
   - Limit orders as percentage of total limit orders: 21.74%
   - Other orders as percentage of total other orders: 60.00%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 43.16%
   - Limit orders as percentage of total limit orders: 13.04%
   - Other orders as percentage of total other orders: 20.00%

3. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 6.08%
   - Limit orders as percentage of total limit orders: 4.35%
   - Other orders as percentage of total other orders: 0.00%

4. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.43%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%
5. EDGX Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 30.43%
- Other orders as percentage of total other orders: 0.00%

6. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.52%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. BATS BZX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 21.74%
- Other orders as percentage of total other orders: 0.00%

8. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.22%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 8.70%
- Other orders as percentage of total other orders: 0.00%

10. Investors Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 10.00%
Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics:

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<tr>
<td>Non-directed orders</td>
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<tr>
<td>Market orders</td>
<td>95.51%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>3.67%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.82%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC        41.22%
2. KCG Americas LLC              33.47%
3. G1 Execution Services, LLC    11.02%
4. Instinet LLC                  4.08%
5. Jane Street Capital, LLC       3.27%
6. Two Sigma Securities, LLC      3.27%
7. BATS BYX Exchange              1.22%
8. NYSE Arca                      0.82%
9. NYSE MKT LLC                   0.41%
10. Deutsche Bank Securities Inc. 0.41%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 41.88%
   - Limit orders as percentage of total limit orders: 22.22%
   - Other orders as percentage of total other orders: 50.00%

2. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 34.62%
   - Limit orders as percentage of total limit orders: 11.11%
   - Other orders as percentage of total other orders: 0.00%

3. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 11.11%
   - Limit orders as percentage of total limit orders: 11.11%
   - Other orders as percentage of total other orders: 0.00%

4. Instinet LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 3.85%
   - Limit orders as percentage of total limit orders: 11.11%
   - Other orders as percentage of total other orders: 0.00%
5. Jane Street Capital, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.99%
- Limit orders as percentage of total limit orders: 11.11%
- Other orders as percentage of total other orders: 0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.42%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.28%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. NYSE Arca

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 11.11%
- Other orders as percentage of total other orders: 50.00%

9. NYSE MKT LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 11.11%
- Other orders as percentage of total other orders: 0.00%

10. Deutsche Bank Securities Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.43%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
## Summary Statistics:

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