Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2016

Securities Listed on New York Stock Exchange

Summary Statistics:

<table>
<thead>
<tr>
<th>Category</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>93.23%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>5.35%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>1.42%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC 39.08%
2. KCG Americas LLC 37.77%
3. G1 Execution Services, LLC 9.39%
4. UBS Securities, LLC 4.48%
5. Instinet LLC 2.62%
6. EDGX Exchange, Inc. 1.53%
7. BATS BZX Exchange 1.42%
8. Citigroup Global Markets, Inc. 1.09%
9. BATS BYX Exchange 0.98%
10. Two Sigma Securities, LLC 0.76%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 40.87%
   - Limit orders as percentage of total limit orders 4.08%
   - Other orders as percentage of total other orders 53.85%

2. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 38.64%
   - Limit orders as percentage of total limit orders 28.57%
   - Other orders as percentage of total other orders 15.38%
3. G1 Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 9.95%
- Limit orders as percentage of total limit orders: 2.04%
- Other orders as percentage of total other orders: 0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 4.80%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

5. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.81%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. EDGX Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 28.57%
- Other orders as percentage of total other orders: 0.00%

7. BATS BZX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 26.53%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.05%
- Limit orders as percentage of total limit orders: 2.04%
- Other orders as percentage of total other orders: 0.00%

9. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.05%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.82%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total market orders: 91.92%
- Limit orders as percentage of total limit orders: 6.06%
- Other orders as percentage of total other orders: 2.02%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC: 39.39%
2. Citadel Securities LLC: 37.12%
3. G1 Execution Services, LLC: 7.58%
4. UBS Securities, LLC: 6.06%
5. Instinet LLC: 2.27%
6. Citigroup Global Markets, Inc.: 1.77%
7. BATS BYX Exchange: 1.77%
8. BATS BZX Exchange: 1.26%
9. EDGX Exchange, Inc.: 1.01%
10. Morgan Stanley & Co. Incorporated: 0.51%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 39.56%
   - Limit orders as percentage of total limit orders: 29.17%
   - Other orders as percentage of total other orders: 62.50%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 38.74%
   - Limit orders as percentage of total limit orders: 20.83%
   - Other orders as percentage of total other orders: 12.50%

3. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 8.24%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

4. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 6.32%
   - Limit orders as percentage of total limit orders: 4.17%
   - Other orders as percentage of total other orders: 0.00%
5. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.47%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.92%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.92%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. BATS BZX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 20.83%
- Other orders as percentage of total other orders: 0.00%

9. EDGX Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 16.67%
- Other orders as percentage of total other orders: 0.00%

10. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 25.00%
Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total market orders: 98.82%
- Limit orders as percentage of total limit orders: 1.18%
- Other orders as percentage of total other orders: 0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC: 38.76%
2. KCG Americas LLC: 30.77%
3. Jane Street Execution, LLC: 10.65%
4. G1 Execution Services, LLC: 7.69%
5. UBS Securities, LLC: 6.21%
6. Two Sigma Securities, LLC: 1.78%
7. Instinet LLC: 1.48%
8. Citigroup Global Markets, Inc.: 0.89%
9. BATS BYX Exchange: 0.89%
10. NYSE Arca: 0.59%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 39.22%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

2. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 30.84%
   - Limit orders as percentage of total limit orders: 25.00%
   - Other orders as percentage of total other orders: 0.00%

3. Jane Street Execution, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 10.78%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

4. G1 Execution Services, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 7.78%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%
5. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 6.29%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.80%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.50%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.90%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. BATS BYX Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.90%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. NYSE Arca

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 50.00%
- Other orders as percentage of total other orders: 0.00%
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