Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2015

Securities Listed on New York Stock Exchange

Summary Statistics:

<table>
<thead>
<tr>
<th>Category</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>83.30%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>12.64%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>4.06%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders:

<table>
<thead>
<tr>
<th>Venue</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. KCG Americas LLC</td>
<td>36.23%</td>
</tr>
<tr>
<td>2. Citadel Securities LLC</td>
<td>32.17%</td>
</tr>
<tr>
<td>3. Goldman, Sachs &amp; Co.</td>
<td>13.21%</td>
</tr>
<tr>
<td>4. EDGX Exchange, Inc.</td>
<td>6.09%</td>
</tr>
<tr>
<td>5. UBS Securities, LLC</td>
<td>3.95%</td>
</tr>
<tr>
<td>6. Instinet LLC</td>
<td>2.60%</td>
</tr>
<tr>
<td>7. Citigroup Global Markets, Inc.</td>
<td>1.92%</td>
</tr>
<tr>
<td>8. New York Stock Exchange</td>
<td>1.69%</td>
</tr>
<tr>
<td>9. Two Sigma Securities, LLC</td>
<td>1.47%</td>
</tr>
<tr>
<td>10. National Financial Services LLC</td>
<td>0.34%</td>
</tr>
</tbody>
</table>

Information Concerning Significant Venues:

1. KCG Americas LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>37.94%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>20.54%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>50.00%</td>
</tr>
</tbody>
</table>

2. Citadel Securities LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>35.64%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>11.61%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>25.00%</td>
</tr>
</tbody>
</table>

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 15.31%
- Limit orders as percentage of total limit orders: 3.57%
- Other orders as percentage of total other orders: 0.00%

4. EDGX Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 48.21%
- Other orders as percentage of total other orders: 0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 4.20%
- Limit orders as percentage of total limit orders: 0.89%
- Other orders as percentage of total other orders: 8.33%

6. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 3.12%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.63%
- Limit orders as percentage of total limit orders: 1.79%
- Other orders as percentage of total other orders: 8.33%

8. New York Stock Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 13.39%
- Other orders as percentage of total other orders: 0.00%

9. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.76%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. National Financial Services LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.41%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 83.56%
Limit orders as percentage of total non-directed orders 11.57%
Other orders as percentage of total non-directed orders 4.86%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC 39.58%
2. Citadel Securities LLC 32.18%
3. Goldman, Sachs & Co. 10.88%
4. UBS Securities, LLC 4.63%
5. EDGX Exchange, Inc. 3.70%
6. Nasdaq Stock Market 2.78%
7. Instinet LLC 1.85%
8. BATS Exchange, Inc. 1.39%
9. Citigroup Global Markets, Inc. 1.39%
10. Two Sigma Securities, LLC 0.69%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 41.27%
   Limit orders as percentage of total limit orders 14.00%
   Other orders as percentage of total other orders 71.43%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 35.46%
   Limit orders as percentage of total limit orders 12.00%
   Other orders as percentage of total other orders 23.81%

   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 12.19%
   Limit orders as percentage of total limit orders 6.00%
   Other orders as percentage of total other orders 0.00%

4. UBS Securities, LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 5.54%
   Limit orders as percentage of total limit orders 0.00%
   Other orders as percentage of total other orders 0.00%

5. EDGX Exchange, Inc.
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 0.00%
   Limit orders as percentage of total limit orders 32.00%
   Other orders as percentage of total other orders 0.00%
6. Nasdaq Stock Market

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 24.00%
- Other orders as percentage of total other orders: 0.00%

7. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.22%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. BATS Exchange, Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.28%
- Limit orders as percentage of total limit orders: 10.00%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.39%
- Limit orders as percentage of total limit orders: 2.00%
- Other orders as percentage of total other orders: 0.00%

10. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.83%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 85.33%
- Limit orders as percentage of total non-directed orders: 12.89%
- Other orders as percentage of total non-directed orders: 1.78%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC: 38.67%
2. Citadel Securities LLC: 35.56%
3. Goldman, Sachs & Co.: 11.11%
4. UBS Securities, LLC: 4.89%
5. EDGX Exchange, Inc.: 4.44%
6. Instinet LLC: 1.33%
7. Two Sigma Securities, LLC: 1.33%
8. AltenNet Securities Inc.: 0.89%
9. NYSE Arca: 0.89%
10. Morgan Stanley & Co. Incorporated: 0.44%

Information Concerning Significant Venues:

1. KCG Americas LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 41.15%
     - Limit orders as percentage of total limit orders: 20.69%
     - Other orders as percentage of total other orders: 50.00%

2. Citadel Securities LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 36.98%
     - Limit orders as percentage of total limit orders: 31.03%
     - Other orders as percentage of total other orders: 0.00%

   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 11.98%
     - Limit orders as percentage of total limit orders: 6.90%
     - Other orders as percentage of total other orders: 0.00%

4. UBS Securities, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 5.73%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%

5. EDGX Exchange, Inc.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 0.00%
     - Limit orders as percentage of total limit orders: 34.48%
     - Other orders as percentage of total other orders: 0.00%
6. Instinet LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 1.04%
- Limit orders as percentage of total limit orders: 3.45%
- Other orders as percentage of total other orders: 0.00%

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 1.56%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. AlterNet Securities Inc.

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 1.04%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

9. NYSE Arca

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 3.45%
- Other orders as percentage of total other orders: 25.00%

10. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 25.00%
### Exchange - Listed Options

**Summary Statistics:**

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<tr>
<td>Other orders as percentage of total non-directed orders</td>
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