Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2014

Securities Listed on New York Stock Exchange

Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 91.85%
- Limit orders as percentage of total non-directed orders: 6.38%
- Other orders as percentage of total non-directed orders: 1.77%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC: 33.07%
2. Citadel Securities LLC: 20.12%
3. Goldman, Sachs & Co.: 13.25%
4. Two Sigma Securities, LLC: 12.56%
5. National Financial Services LLC: 7.85%
6. UBS Securities, LLC: 3.53%
7. Direct Edge Exchange: 3.14%
8. Instinet LLC: 2.36%
9. Citigroup Global Markets, Inc.: 2.26%
10. BATS Exchange, Inc.: 0.69%

Information Concerning Significant Venues:

1. KCG Americas LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 33.65%
     - Limit orders as percentage of total limit orders: 15.38%
     - Other orders as percentage of total other orders: 66.67%

2. Citadel Securities LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 20.73%
     - Limit orders as percentage of total limit orders: 7.69%
     - Other orders as percentage of total other orders: 33.33%

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 14.21%
- Limit orders as percentage of total limit orders: 3.08%
- Other orders as percentage of total other orders: 0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 13.25%
- Limit orders as percentage of total limit orders: 6.15%
- Other orders as percentage of total other orders: 0.00%

5. National Financial Services LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 8.44%
- Limit orders as percentage of total limit orders: 1.54%
- Other orders as percentage of total other orders: 0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 3.74%
- Limit orders as percentage of total limit orders: 1.54%
- Other orders as percentage of total other orders: 0.00%

7. Direct Edge Exchange

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 49.23%
- Other orders as percentage of total other orders: 0.00%

8. Instinet LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 2.46%
- Limit orders as percentage of total limit orders: 1.54%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 2.46%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. BATS Exchange, Inc.

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.21%
- Limit orders as percentage of total limit orders: 7.69%
- Other orders as percentage of total other orders: 0.00%
Securities Listed on The Nasdaq Stock Market

Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 76.57%
- Limit orders as percentage of total non-directed orders: 21.22%
- Other orders as percentage of total non-directed orders: 2.21%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC: 33.03%
2. Citadel Securities LLC: 19.19%
3. Direct Edge Exchange: 11.62%
4. National Financial Services LLC: 9.23%
5. Goldman, Sachs & Co.: 8.49%
6. Two Sigma Securities, LLC: 8.49%
7. UBS Securities, LLC: 3.32%
8. Instinet LLC: 1.85%
9. BATS Exchange, Inc.: 1.66%
10. Nasdaq Execution Services, LLC: 1.29%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 36.63%
   - Limit orders as percentage of total limit orders: 14.78%
   - Other orders as percentage of total other orders: 83.33%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 24.34%
   - Limit orders as percentage of total limit orders: 2.61%
   - Other orders as percentage of total other orders: 0.00%

3. Direct Edge Exchange
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 0.00%
   - Limit orders as percentage of total limit orders: 54.78%
   - Other orders as percentage of total other orders: 0.00%

4. National Financial Services LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 10.84%
   - Limit orders as percentage of total limit orders: 4.35%
   - Other orders as percentage of total other orders: 0.00%

5. Goldman, Sachs & Co.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 10.60%
   - Limit orders as percentage of total limit orders: 1.74%
   - Other orders as percentage of total other orders: 0.00%
6. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 10.60%
   - Limit orders as percentage of total limit orders: 1.74%
   - Other orders as percentage of total other orders: 0.00%

7. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 3.61%
   - Limit orders as percentage of total limit orders: 0.87%
   - Other orders as percentage of total other orders: 16.67%

8. Instinet LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.17%
   - Limit orders as percentage of total limit orders: 0.87%
   - Other orders as percentage of total other orders: 0.00%

9. BATS Exchange, Inc.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 0.48%
   - Limit orders as percentage of total limit orders: 6.09%
   - Other orders as percentage of total other orders: 0.00%

10. Nasdaq Execution Services, LLC
    Types of Orders Routed to Venue:
    - Market orders as percentage of total market orders: 0.24%
    - Limit orders as percentage of total limit orders: 5.22%
    - Other orders as percentage of total other orders: 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 94.37%
- Limit orders as percentage of total non-directed orders: 3.17%
- Other orders as percentage of total non-directed orders: 2.46%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC: 32.75%
2. Citadel Securities LLC: 27.82%
3. Goldman, Sachs & Co.: 20.42%
4. Two Sigma Securities, LLC: 7.75%
5. UBS Securities, LLC: 2.82%
6. Instinet LLC: 2.11%
7. National Financial Services LLC: 2.11%
8. Direct Edge Exchange: 1.41%
9. Citigroup Global Markets, Inc.: 1.06%
10. BATS Exchange, Inc.: 0.70%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 31.34%
   - Limit orders as percentage of total limit orders: 22.22%
   - Other orders as percentage of total other orders: 100.00%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 29.48%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 21.27%
   - Limit orders as percentage of total limit orders: 11.11%
   - Other orders as percentage of total other orders: 0.00%

4. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 8.21%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

5. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 2.99%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%
6. Instinet LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 2.24%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 2.24%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 44.44%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 1.12%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. BATS Exchange, Inc.

Types of Orders Routed to Venue:
- Market orders as percentage of total market orders: 0.37%
- Limit orders as percentage of total limit orders: 11.11%
- Other orders as percentage of total other orders: 0.00%
## Summary Statistics:

<table>
<thead>
<tr>
<th>Category</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>