Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2013

Securities Listed on New York Stock Exchange

Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 90.42%
- Limit orders as percentage of total non-directed orders: 8.53%
- Other orders as percentage of total non-directed orders: 1.06%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC: 36.23%
2. Citadel Securities LLC: 16.98%
3. Goldman, Sachs & Co.: 14.72%
4. National Financial Services LLC: 11.09%
5. Two Sigma Securities, LLC: 9.96%
6. UBS Securities, LLC: 7.40%
7. Instinet LLC: 1.43%
8. Direct Edge Exchange: 0.83%
9. Citigroup Global Markets, Inc.: 0.83%
10. Nasdaq Execution Services, LLC: 0.23%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 36.14%
   - Limit orders as percentage of total limit orders: 34.51%
   - Other orders as percentage of total other orders: 57.14%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 17.53%
   - Limit orders as percentage of total limit orders: 7.96%
   - Other orders as percentage of total other orders: 42.86%

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 15.78%
- Limit orders as percentage of total limit orders: 5.31%
- Other orders as percentage of total other orders: 0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 8.68%
- Limit orders as percentage of total limit orders: 38.05%
- Other orders as percentage of total other orders: 0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 10.85%
- Limit orders as percentage of total limit orders: 1.77%
- Other orders as percentage of total other orders: 0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 8.18%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.59%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 9.73%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.92%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. Nasdaq Execution Services, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 2.65%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:

Non-directed orders as percentage of total customer orders 100.00%
Market orders as percentage of total non-directed orders 88.58%
Limit orders as percentage of total non-directed orders 10.02%
Other orders as percentage of total non-directed orders 1.40%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. KCG Americas LLC 31.26%
2. Citadel Securities LLC 21.24%
3. National Financial Services LLC 14.23%
4. Goldman, Sachs & Co. 12.22%
5. Two Sigma Securities, LLC 12.02%
6. UBS Securities, LLC 4.01%
7. Instinet LLC 1.60%
8. Direct Edge Exchange 1.20%
9. Nasdaq Execution Services, LLC 1.00%
10. Liquidnet 0.40%

Information Concerning Significant Venues:

1. KCG Americas LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 31.90%
   - Limit orders as percentage of total limit orders 16.00%
   - Other orders as percentage of total other orders 100.00%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 23.53%
   - Limit orders as percentage of total limit orders 4.00%
   - Other orders as percentage of total other orders 0.00%

3. National Financial Services LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 10.86%
   - Limit orders as percentage of total limit orders 46.00%
   - Other orders as percentage of total other orders 0.00%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 13.35%
   - Limit orders as percentage of total limit orders 4.00%
   - Other orders as percentage of total other orders 0.00%

5. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 13.57%
   - Limit orders as percentage of total limit orders 0.00%
   - Other orders as percentage of total other orders 0.00%
6. **UBS Securities, LLC**

   **Types of Orders Routed to Venue:**
   
<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>4.07%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>4.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

7. **Instinet LLC**

   **Types of Orders Routed to Venue:**
   
<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>1.81%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

8. **Direct Edge Exchange**

   **Types of Orders Routed to Venue:**
   
<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>12.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

9. **Nasdaq Execution Services, LLC**

   **Types of Orders Routed to Venue:**
   
<table>
<thead>
<tr>
<th>Type of Order</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders as percentage of total market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total limit orders</td>
<td>10.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

10. **Liquidnet**

    **Types of Orders Routed to Venue:**
    
    | Type of Order                              | Percentage |
    |--------------------------------------------|------------|
    | Market orders as percentage of total market orders | 0.45%      |
    | Limit orders as percentage of total limit orders | 0.00%      |
    | Other orders as percentage of total other orders | 0.00%      |
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 96.71%
- Limit orders as percentage of total non-directed orders: 3.29%
- Other orders as percentage of total non-directed orders: 0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC 27.30%
2. KCG Americas LLC 25.33%
3. Goldman, Sachs & Co. 23.36%
4. Two Sigma Securities, LLC 13.16%
5. National Financial Services LLC 5.26%
6. Instinet LLC 2.30%
7. UBS Securities, LLC 2.30%
8. Direct Edge Exchange 0.33%
9. Citigroup Global Markets, Inc. 0.33%
10. Morgan Stanley & Co. Incorporated 0.33%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 27.89%
     - Limit orders as percentage of total limit orders: 10.00%
     - Other orders as percentage of total other orders: 0.00%

2. KCG Americas LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 24.83%
     - Limit orders as percentage of total limit orders: 40.00%
     - Other orders as percentage of total other orders: 0.00%

   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 24.15%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%

4. Two Sigma Securities, LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 13.61%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%

5. National Financial Services LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 4.42%
     - Limit orders as percentage of total limit orders: 30.00%
     - Other orders as percentage of total other orders: 0.00%
6. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.38%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.38%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 10.00%
- Other orders as percentage of total other orders: 0.00%


Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.34%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 10.00%
- Other orders as percentage of total other orders: 0.00%
**Exchange - Listed Options**

**Summary Statistics:**

<table>
<thead>
<tr>
<th>Category</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>