Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2012

Securities Listed on New York Stock Exchange

Summary Statistics :

<table>
<thead>
<tr>
<th>Description</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>100.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>82.67%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>11.10%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>6.23%</td>
</tr>
</tbody>
</table>

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, LLC 27.18%
2. Citadel Securities LLC 23.33%
3. UBS Securities, LLC 13.48%
4. Goldman, Sachs & Co. 7.02%
5. Citigroup Global Markets, Inc. 6.91%
6. National Financial Services LLC 6.00%
7. Two Sigma Securities, LLC 5.78%
8. BATS Exchange, Inc. 2.60%
9. Instinet LLC 1.93%
10. Direct Edge Exchange 1.70%

Information Concerning Significant Venues :

1. Knight Capital Markets, LLC

   Types of Orders Routed to Venue :
   - Market orders as percentage of total market orders 26.71%
   - Limit orders as percentage of total limit orders 16.33%
   - Other orders as percentage of total other orders 52.73%

2. Citadel Securities LLC

   Types of Orders Routed to Venue :
   - Market orders as percentage of total market orders 27.40%
   - Limit orders as percentage of total limit orders 4.08%
   - Other orders as percentage of total other orders 3.64%
3. UBS Securities, LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>14.52%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>5.10%</td>
</tr>
<tr>
<td>Other orders</td>
<td>14.55%</td>
</tr>
</tbody>
</table>


Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>8.36%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>1.02%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>


Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>4.79%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>10.20%</td>
</tr>
<tr>
<td>Other orders</td>
<td>29.09%</td>
</tr>
</tbody>
</table>

6. National Financial Services LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>6.58%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>5.10%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>6.71%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>2.04%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

8. BATS Exchange, Inc.

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>23.47%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

9. Instinet LLC

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>2.33%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>

10. Direct Edge Exchange

Types of Orders Routed to Venue:

<table>
<thead>
<tr>
<th>Order Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders</td>
<td>15.31%</td>
</tr>
<tr>
<td>Other orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>
Securities Listed on The Nasdaq Stock Market

Summary Statistics:
- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 80.19%
- Limit orders as percentage of total non-directed orders: 14.56%
- Other orders as percentage of total non-directed orders: 5.25%

Venues Receiving Significant Percentage of Total Non-Directed Orders:
1. Citadel Securities LLC: 37.23%
2. Knight Equity Markets, L.P.: 17.18%
3. UBS Securities, LLC: 8.83%
4. Citigroup Global Markets, Inc.: 7.64%
5. Goldman, Sachs & Co.: 6.44%
6. Two Sigma Securities, LLC: 5.97%
7. National Financial Services LLC: 5.73%
8. Direct Edge Exchange: 5.01%
9. Instinet LLC: 1.91%
10. AlterNet Securities Inc.: 1.67%

Information Concerning Significant Venues:

1. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 42.86%
   - Limit orders as percentage of total limit orders: 19.67%
   - Other orders as percentage of total other orders: 0.00%

2. Knight Equity Markets, L.P.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 16.07%
   - Limit orders as percentage of total limit orders: 6.56%
   - Other orders as percentage of total other orders: 63.64%

3. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 9.82%
   - Limit orders as percentage of total limit orders: 3.28%
   - Other orders as percentage of total other orders: 9.09%

   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 5.36%
   - Limit orders as percentage of total limit orders: 13.11%
   - Other orders as percentage of total other orders: 27.27%

5. Goldman, Sachs & Co.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 8.04%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%
6. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 7.44%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 5.65%
- Limit orders as percentage of total limit orders: 8.20%
- Other orders as percentage of total other orders: 0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 0.00%
- Limit orders as percentage of total limit orders: 34.43%
- Other orders as percentage of total other orders: 0.00%

9. Instinet LLC

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 2.38%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

10. AlterNet Securities Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.79%
- Limit orders as percentage of total limit orders: 1.64%
- Other orders as percentage of total other orders: 0.00%
Summary Statistics:
- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 92.13%
- Limit orders as percentage of total non-directed orders: 6.89%
- Other orders as percentage of total non-directed orders: 0.98%

Venues Receiving Significant Percentage of Total Non-Directed Orders:
1. Knight Capital Markets, LLC: 32.79%
2. Citadel Securities LLC: 25.25%
3. Two Sigma Securities, LLC: 10.16%
4. UBS Securities, LLC: 8.85%
5. Goldman, Sachs & Co.: 7.21%
6. Citigroup Global Markets, Inc.: 5.57%
7. National Financial Services LLC: 5.25%
8. Direct Edge Exchange: 1.97%
9. NYSE Arca: 1.31%
10. Instinet LLC: 0.98%

Information Concerning Significant Venues:
1. Knight Capital Markets, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 33.10%
   - Limit orders as percentage of total limit orders: 28.57%
   - Other orders as percentage of total other orders: 33.33%

2. Citadel Securities LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 26.33%
   - Limit orders as percentage of total limit orders: 4.76%
   - Other orders as percentage of total other orders: 66.67%

3. Two Sigma Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 11.03%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

4. UBS Securities, LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 9.25%
   - Limit orders as percentage of total limit orders: 4.76%
   - Other orders as percentage of total other orders: 0.00%

5. Goldman, Sachs & Co.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 7.83%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 6.05%
   - Limit orders as percentage of total limit orders: 0.00%
   - Other orders as percentage of total other orders: 0.00%

7. National Financial Services LLC
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 4.63%
   - Limit orders as percentage of total limit orders: 14.29%
   - Other orders as percentage of total other orders: 0.00%

8. Direct Edge Exchange
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 0.00%
   - Limit orders as percentage of total limit orders: 28.57%
   - Other orders as percentage of total other orders: 0.00%

9. NYSE Arca
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders: 0.00%
   - Limit orders as percentage of total limit orders: 19.05%
   - Other orders as percentage of total other orders: 0.00%

10. Instinet LLC
    Types of Orders Routed to Venue:
    - Market orders as percentage of total market orders: 1.07%
    - Limit orders as percentage of total limit orders: 0.00%
    - Other orders as percentage of total other orders: 0.00%
## Summary Statistics:

<table>
<thead>
<tr>
<th>Category</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>