Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2009

Securities Listed on New York Stock Exchange

Summary Statistics:

| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 78.51%  |
| Limit orders as percentage of total non-directed orders  | 14.92%  |
| Other orders as percentage of total non-directed orders  | 6.57%   |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Automated Trading Desk Financial Services, L.L.C. 28.26%
2. Knight Capital Markets, L.L.C. 27.87%
3. Citadel Derivatives Group LLC 15.51%
5. UBS Securities, L.L.C. 10.03%
6. Citigroup Global Markets, Inc. 3.66%
7. Direct Edge ECN L.L.C. 0.20%
8. Goldman, Sachs & Co. 0.20%
9. New York Stock Exchange 0.15%
10. AlterNet Securities Inc. 0.10%

Information Concerning Significant Venues:

1. Automated Trading Desk Financial Services, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 27.75%
   - Limit orders as percentage of total limit orders 29.47%
   - Other orders as percentage of total other orders 31.58%

2. Knight Capital Markets, L.L.C.
   Types of Orders Routed to Venue:
   - Market orders as percentage of total market orders 25.49%
   - Limit orders as percentage of total limit orders 31.79%
   - Other orders as percentage of total other orders 47.37%
3. Citadel Derivatives Group LLC
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 15.17%
   Limit orders as percentage of total limit orders 18.54%
   Other orders as percentage of total other orders 12.78%

4. National Financial Services, L.L.C.
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 16.74%
   Limit orders as percentage of total limit orders 4.64%
   Other orders as percentage of total other orders 0.00%

5. UBS Securities, L.L.C.
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 9.50%
   Limit orders as percentage of total limit orders 13.58%
   Other orders as percentage of total other orders 8.27%

   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 4.59%
   Limit orders as percentage of total limit orders 0.33%
   Other orders as percentage of total other orders 0.00%

7. Direct Edge ECN L.L.C.
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 0.00%
   Limit orders as percentage of total limit orders 1.32%
   Other orders as percentage of total other orders 0.00%

   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 0.25%
   Limit orders as percentage of total limit orders 0.00%
   Other orders as percentage of total other orders 0.00%

9. New York Stock Exchange
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 0.19%
   Limit orders as percentage of total limit orders 0.00%
   Other orders as percentage of total other orders 0.00%

10. AlterNet Securities Inc.
    Types of Orders Routed to Venue:
    Market orders as percentage of total market orders 0.13%
    Limit orders as percentage of total limit orders 0.00%
    Other orders as percentage of total other orders 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 77.90%
- Limit orders as percentage of total non-directed orders: 20.11%
- Other orders as percentage of total non-directed orders: 1.99%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Knight Equity Markets, L.P. 27.17%
2. Automated Trading Desk Financial Services, L.L.C. 21.56%
3. UBS Securities, L.L.C. 19.02%
4. Citadel Derivatives Group LLC 16.49%
5. National Financial Services, L.L.C. 11.78%
6. Citigroup Global Markets, Inc. 3.26%
7. Direct Edge ECN L.L.C. 0.36%
8. Natixis Bleichroeder, Inc. 0.18%
9. Goldman, Sachs & Co. 0.18%

Information Concerning Significant Venues:

1. Knight Equity Markets, L.P.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 23.72%
     - Limit orders as percentage of total limit orders: 39.64%
     - Other orders as percentage of total other orders: 36.36%

2. Automated Trading Desk Financial Services, L.L.C.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 20.23%
     - Limit orders as percentage of total limit orders: 26.13%
     - Other orders as percentage of total other orders: 27.27%

3. UBS Securities, L.L.C.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 19.07%
     - Limit orders as percentage of total limit orders: 17.12%
     - Other orders as percentage of total other orders: 36.36%

4. Citadel Derivatives Group LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 18.84%
     - Limit orders as percentage of total limit orders: 9.01%
     - Other orders as percentage of total other orders: 0.00%

5. National Financial Services, L.L.C.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 13.95%
     - Limit orders as percentage of total limit orders: 4.50%
     - Other orders as percentage of total other orders: 0.00%
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 3.72%
   Limit orders as percentage of total limit orders 1.80%
   Other orders as percentage of total other orders 0.00%

7. Direct Edge ECN L.L.C.
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 0.00%
   Limit orders as percentage of total limit orders 1.80%
   Other orders as percentage of total other orders 0.00%

8. Natixis Bleichroeder, Inc.
   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 0.23%
   Limit orders as percentage of total limit orders 0.00%
   Other orders as percentage of total other orders 0.00%

   Types of Orders Routed to Venue:
   Market orders as percentage of total market orders 0.23%
   Limit orders as percentage of total limit orders 0.00%
   Other orders as percentage of total other orders 0.00%
Summary Statistics:

- Non-directed orders as percentage of total customer orders: 100.00%
- Market orders as percentage of total non-directed orders: 70.11%
- Limit orders as percentage of total non-directed orders: 14.81%
- Other orders as percentage of total non-directed orders: 15.08%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Knight Capital Markets, L.L.C. 40.48%
2. UBS Securities, L.L.C. 19.31%
3. Citadel Derivatives Group LLC 15.87%
4. Automated Trading Desk Financial Services, L.L.C. 12.96%
5. National Financial Services, L.L.C. 5.82%
6. Domestic Securities Inc. 4.76%
7. NYSE Arca 0.79%

Information Concerning Significant Venues:

1. Knight Capital Markets, L.L.C.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 37.36%
     - Limit orders as percentage of total limit orders: 44.64%
     - Other orders as percentage of total other orders: 50.88%

2. UBS Securities, L.L.C.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 18.11%
     - Limit orders as percentage of total limit orders: 25.00%
     - Other orders as percentage of total other orders: 19.30%

3. Citadel Derivatives Group LLC
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 12.83%
     - Limit orders as percentage of total limit orders: 28.57%
     - Other orders as percentage of total other orders: 17.54%

4. Automated Trading Desk Financial Services, L.L.C.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 15.47%
     - Limit orders as percentage of total limit orders: 1.79%
     - Other orders as percentage of total other orders: 12.28%

5. National Financial Services, L.L.C.
   - Types of Orders Routed to Venue:
     - Market orders as percentage of total market orders: 8.30%
     - Limit orders as percentage of total limit orders: 0.00%
     - Other orders as percentage of total other orders: 0.00%
6. Domestic Securities Inc.

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 6.79%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%

7. NYSE Arca

Types of Orders Routed to Venue:

- Market orders as percentage of total market orders: 1.13%
- Limit orders as percentage of total limit orders: 0.00%
- Other orders as percentage of total other orders: 0.00%
# Exchange - Listed Options

## Summary Statistics:

<table>
<thead>
<tr>
<th>Category</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-directed orders as percentage of total customer orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Market orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Limit orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
<tr>
<td>Other orders as percentage of total non-directed orders</td>
<td>0.00%</td>
</tr>
</tbody>
</table>